

06/07/01
JC961 U.S. PTO

16-11-01
J1086 U.S. PTO
6/07/01
328/860
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328/860

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new non-provisional applications under 37 CFR §1.53(b))

| | | |
|--|---------------------------------------|----------------------------|
| Attorney Docket No. | KLR 1016 068 | |
| First Inventor or Application Identifier | | John Dunklee 60/230.212 |
| Title | CHUCK FOR HOLDING A DEVICE UNDER TEST | |
| Express Mail Label No | EL744771404US | |

| | | | |
|---|-------------------------|---|-------------|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents | | Commissioner for Patents & Trademarks ADDRESS TO Box Patent Application Washington D C 20231 | |
| <p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total pages 47</p> <p>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Federally Sponsored Research - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Pages 12</p> <p>4. Oath or Declaration Total Pages 3</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 16 completed)</p> <p>i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)</p> | | | |
| <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer readable copy</p> <p>b. <input type="checkbox"/> Paper copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> | | | |
| ACCOMPANYING APPLICATION PARTS | | | |
| <p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>8. <input checked="" type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney when there is an assignee</p> <p>9. <input type="checkbox"/> English translation document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)</p> <p>13. <input type="checkbox"/> *Small Entity Statements (PTO/sb/09-12) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired.</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Other: Acknowledgment Postcard</p> | | | |
| <p>* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)</p> <p>16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner <u>Melvin S. Brooks</u> Group No /Art Unit _____ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts</p> | | | |
| 17 CORRESPONDENCE ADDRESS | | | |
| <input type="checkbox"/> Customer Number or Bar Code Label | | (Insert customer number or attach bar code label here) | |
| <p>Name <u>Kevin L. Russell</u></p> <p>Address <u>601 SW Second Ave, Suite 1600</u></p> <p>City <u>Portland</u> State <u>OR</u> Zip Code <u>97204-3157</u></p> <p>Country <u>USA</u> Telephone <u>(503)227-5631</u> FAX <u>(503)228-4373</u></p> | | | |
| Name (print type) | <u>Kevin L. Russell</u> | Registration No | 38 292 |
| Signature | <u>Kevin L. Russell</u> | Date | June 7 2001 |

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

| | |
|----------------------|---------------|
| Application Number | 60/230,212 |
| Filing Date | Sept. 5, 2000 |
| First Named Inventor | John Dunklee |
| Examiner Name | |
| Group/ Art Unit | |

TOTAL AMOUNT OF PAYMENT **\$2 256**

Attorney Docket No. **KLR 1016 068**

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to

Deposit Account Number

| |
|-------------------------------------|
| Chernoff Vilhauer McClung & Stenzel |
|-------------------------------------|

Charge any additional fee required under 37 CFR 1.16 & 1.17

2. Payment Enclosed

Check Credit Card Money Order Other

FEE CALCULATION

1 BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|----------|----------|----------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | 710 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | | \$710 | |

2 EXTRA CLAIM FEES

| | | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|--------------|----------------|----------|
| Total Claims | 77 | -20** | = 57 x 18 = | 1,026 |
| Indep. Claims | 9 | - 3** | = 6 x 80 = | 480 |
| Multiple Dependent | | | = 0 | 0 |

*or number of previously paid, if greater. For reissues, see below

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|--------------|----------|----------|----------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | *Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | | | \$1,506 |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **\$40**

Complete (if applicable)

| | | | | | | |
|-------------------|---|------------------|--------|-----------|----------------|--------------|
| SUBMITTED BY | | | | | | |
| Name (print type) | Kevin L. Russell | Registration No. | 38,292 | Telephone | (503) 227-5631 | |
| Signature |  | | | | Date | June 7, 2001 |

**CERTIFICATE OF MAILING
BY EXPRESS MAIL**

Express Mail No.: EL744771404US

Date of Deposit: June 7, 2001

I hereby certify that the patent application attached hereto entitled **CHUCK FOR HOLDING A DEVICE UNDER TEST**, John Dunklee, inventor, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to, The Honorable Commissioner for Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.

Dwight Bergquist Moody
Dwight Bergquist Moody